

**UNIT HOLDER INFORMATION UPDATE FORM
BORANG KEMAS KINI MAKLUMAT PEMEGANG UNIT**



Please complete in **BLOCK LETTERS** and tick [✓] where applicable. All fields are **MANDATORY** to be filled in.

If you are an Individual Unit Holder, please complete A, B, C, F, G, H, I and J.

If you are a Corporate Unit Holder, please complete Part D, E, F, G, H and J.

Sila lengkapkan dengan **HURUF BESAR** dan tandakan [✓] dimana berkenaan. Semua maklumat adalah **WAJIB** untuk diisi.

Sekiranya anda adalah Pemegang Unit Individu, sila lengkapkan A, B, C, F, G, H, I and J.

Sekiranya anda adalah Pemegang Unit Korporat, sila lengkapkan D, E, F, G, H dan J.

TO/KEPADA: **SAHAM SABAH BERHAD**
Suite 1-9-W2, W3 & W4, 9th Floor, CPS Tower, Centre Point Sabah
No. 1, Jalan Centre Point, 88000 Kota Kinabalu
Tel: 088-254967/254968/254970 Fax: 088-262588/257864
E-mail: enquiry@sahamsabah.com.my Website: www.sahamsabah.com.my

A. PRINCIPAL UNIT HOLDER INFORMATION / MAKLUMAT PEMEGANG UNIT UTAMA

Title/Gelaran: ☐ Mr/Encik ☐ Mrs/Puan ☐ Ms/Cik ☐ Others/Lain-lain: _____

Account No/No. Akaun (Saham Amanah Sabah): _____

Name>Nama (as per NRIC/Seperti Kad Pengenalan/Other ID/Pengenalan lain): _____

NRIC No./No. Kad Pengenalan: _____ **Gender/Jantina:** ☐ Female/Perempuan ☐ Male/Lelaki
(Please provide a copy of NRIC/Sila sertakan salinan kad pengenalan)

Telephone No./No. Telefon (Mobile/Bimbit): _____ **(House/Rumah):** _____ **(Office/Pejabat):** _____

Email/E-mel: _____

Residential Address/Alamat Tempat Tinggal: _____

Postcode/Poskod: _____

Mailing Address/Alamat Surat Menyurat (if different from the above/sekiranya berlainan daripada di atas) : _____

Postcode/Poskod: _____

Marital Status/Status Perkahwinan: ☐ Single/Bujang ☐ Married/Berkahwin ☐ Others/Lain-lain: _____

State of Origin/Tempat Asal: ☐ Sabah ☐ Sabah Permanent Resident (Penduduk Tetap Sabah)
(Please provide relevant supporting document/ Sila sertakan dokumen sokongan yang berkaitan)

Race/Bangsa: _____ **Religion/Agama:** _____

Education Level/Tahap Pendidikan: ☐ Primary/Sekolah Rendah ☐ Secondary/Sekolah Menengah ☐ STPM/Diploma/Pre-U
☐ Bachelor Degree/Ijazah Sarjana Muda ☐ Post Graduate/Sarjana ☐ Professional

Occupation/Pekerjaan: _____

Monthly Income/ Pendapatan Bulanan: ☐ Below/Dibawah RM3,000 ☐ RM3,001-RM7,000 ☐ RM7,001-RM12,000 ☐ RM12,001-RM18,000 ☐ Above/Atas RM18,000

Source of Fund/Sumber Dana: ☐ Maturity/Withdrawal of other investments/Dividends received
Kematangan pelaburan/Pengeluaran Pelaburan lain/Penerimaan Dividen ☐ Loan/Disposal of properties
Pinjaman/Penjualan Hartanah
☐ Inheritance/Donations ☐ Employment Income ☐ Retirement/Pension Income
Pewarisan Harta/Sumbangan Pendapatan Pekerjaan Pendapatan Persaraan
☐ Savings/Simpanan ☐ Child/Spousal Support Payments ☐ Passive Income (Rental/Interest)
Bayaran sokongan anak/isteri Pendapatan Pasif (Sewa/faedah)
☐ Trade/Business ☐ Other Source of Income (please specify / Sumber lain (sila nyatakan):
Perdagangan/Perniagaan _____

Name of your employer or business>Nama majikan atau perniagaan Pemegang Unit:
(For student, please specify your college or university's name/Untuk pelajar, sila nyatakan nama institusi pengajian)

Address of your employer or business/college or university:
Alamat majikan atau perniagaan/institusi pengajian:

Nature/Industry/Sector of Business/Jenis/Industri/Sektor perniagaan :

Do you or any of your family members hold a prominent position in any domestic or international organization, including a political party?
Adakah anda atau ahli keluarga anda memegang jawatan tertinggi di mana-mana organisasi tempatan atau antarabangsa, termasuk parti politik?
☐ Yes ☐ No

If yes, please specify the position/Jika ya, sila nyatakan jawatan yang dipegang: _____

If it is your family member, please state relationship/ Sekiranya mereka adalah ahli keluarga anda, sila nyatakan hubungan: _____

B. PARTICULARS OF SPOUSE / MAKLUMAT PASANGAN

Name/Nama (as per NRIC/Seperti Kad Pengenalan/Other ID/Pengenalan lain): _____

NRIC No/No. Kad Pengenalan: _____ Occupation/Business/Pekerjaan/Perniagaan: _____

Employer's/Company's Location Address/Alamat majikan atau perniagaan: _____

Mailing Address/Alamat Surat Menyurat: _____

Email/E-mel: _____ Telephone No./No. Telefon: _____

C. DETAILS OF JOINT-HOLDER / MAKLUMAT PEMEGANG BERSAMA

Name/Nama (as per NRIC/Seperti Kad Pengenalan/Other ID/Pengenalan lain): _____

NRIC No/No. Kad Pengenalan: _____ Gender/ Jantina: ☐ Female/ Perempuan ☐ Male/ LelakiOrigin/Tempat Asal: ☐ Sabah ☐ Others/Lain-lain (Please specify/Sila nyatakan): _____

MyPR No./No. MyPR (if applicable/jika berkenaan): _____

Telephone No./No. Telefon (Mobile/Bimbit): _____ (House/Rumah): _____ (Office/Pejabat): _____

Mailing Address/Alamat Surat Menyurat: _____

Relationship with Principal Unit Holder/Hubungan dengan Pemegang Unit Utama: _____

D. DETAILS OF CORPORATE UNIT HOLDER / MAKLUMAT PEMEGANG UNIT KORPORAT

Company Name/Nama Syarikat: _____

Company Registration No. (New/Old) /No. Pendaftaran Syarikat (Baru/Lama): _____

Name of the Company's Managing Director/Chief Executive Officer/Owner/
Nama Pengarah Urusan/Ketua Pengarah Eksekutif/Pemilik Syarikat: _____

Telephone No./No. Telefon (Office/Pejabat): _____ Fax No./No. Faks: _____

Mailing Address/Alamat Surat Menyurat: _____

Email/Emel: _____

Source of Fund/Sumber Dana: _____

Nature/Industry/Sector of Business/Jenis/Industri/Sektor perniagaan : _____

Does the Managing Director/Chief Executive Officer/Owner or any of their family members hold a prominent position in any domestic or international organization, including a political party?

Adakah Pengarah Urusan/Ketua Pegawai Eksekutif/Pemilik Syarikat atau ahli keluarga mereka memegang jawatan tertinggi di mana-mana organisasi tempatan atau antarabangsa, termasuk parti politik?

☐ Yes ☐ No

If yes, please specify the position/Jika ya, sila nyatakan jawatan yang dipegang: _____

If it is their family member, please state relationship/ Sekiranya mereka adalah ahli keluarga, sila nyatakan hubungan: _____

Does the Company's Board of Directors hold a prominent position in any domestic or international organization, including a political party?

Adakah Ahli Lembaga Pengarah syarikat anda memegang jawatan tertinggi di mana-mana organisasi tempatan atau antarabangsa, termasuk parti politik?

☐ Yes ☐ No

If yes, please specify the position/Jika ya, sila nyatakan jawatan yang dipegang: _____

E. ELECTRONIC REPORT/ LAPORAN ELEKTRONIK

I/We prefer to receive Interim/Annual Report by:

Saya/Kami ingin menerima Laporan Interim/Tahunan melalui:

☐ Email/E-mel☐ View/Download from Website/Memuat Turun daripada Laman Web

(Note: The printed copies of the Reports are still available upon request from SSB's office)

(Nota: Salinan Laporan bercetak masih boleh didapati di Pejabat SSB atas permintaan pelabur)

F. PARTICULARS OF BENEFICIAL OWNER/ MAKLUMAT PEMILIK BERMANFAAT

- ☐ Yes, I/ the Managing Director/Chief Executive Officer/Owner am/is holding the Units for a Beneficial Owner/ Ya, saya/Pengarah Urusan/Ketua Pegawai Eksekutif/Pemilik Syarikat memegang Unit tersebut untuk Pemilik Bermanfaat.

Please provide details of the Beneficial Owner(s) and documentary evidence of identity of the Beneficial Owner(s) by completing the **Declaration of Beneficial Owner**/ Sila berikan maklumat Pemilik Bermanfaat beserta dokumen sokongan identiti Pemilik Bermanfaat dengan melengkapkan borang **Perakuan Pemilik Bermanfaat**.

(The Declaration of Beneficial Owner Form is available at SSB's website/ Borang Perakuan Pemilik Bermanfaat boleh didapati di laman web SSB).

- ☐ No, I/ the Managing Director/Chief Executive Officer/Owner am/is not holding the Units for a Beneficial Owner/ Tidak, saya/Pengarah Urusan/Ketua Pegawai Eksekutif/Pemilik Syarikat tidak memegang Unit tersebut untuk Pemilik Bermanfaat.

G. FATCA INFORMATION/ MAKLUMAT FATCA

Under the Foreign Account Tax Compliance Act (FATCA), SSB is required to collect relevant information to comply with the FATCA Reporting Requirement. If you are a U.S Person, SSB may need to provide to the Inland Revenue Board Malaysia (IRBM) your account information which may then be shared with the U.S Internal Revenue Service (IRS). / Menurut FATCA, SSB dikehendaki mengumpul maklumat berkaitan untuk mematuhi Keperluan Pelaporan FATCA. Jika anda adalah rakyat A.S., SSB mungkin perlu memberikan kepada Lembaga Hasil Dalam Negeri Malaysia (LHDNM) maklumat akaun anda yang kemudiannya akan dikongsi dengan Perkhidmatan Hasil Dalam Negeri (IRS) A.S.

The term U.S Person refers to a citizen or resident of the United States of America. / Istilah rakyat A.S. merujuk kepada warganegara atau pemastautin Amerika Syarikat.

FATCA information (To be completed by Principle/Joint Holder/Corporate Unit Holder (if any)/ Maklumat FATCA (Untuk diisi oleh Pemegang Unit Utama/Pemegang Unit Bersama/Pemegang Unit Korporat (jika ada).

Please complete this section and tick ("✓") in the "Yes"/ "No" column/Sila lengkapkan bahagian ini dan tandakan ("✓") pada ruangan "Ya"/ "Tidak"

No.	Indicia Status/Status Indicia	Principal Holder/ Pemegang Unit Utama		Joint-Holder/ Pemegang Bersama		Managing Director/Chief Executive Officer/Owner/ Pengarah Urusan/Ketua Pegawai Eksekutif/Pemilik Syarikat	
		Yes/Ya	No/Tidak	Yes/Ya	No/Tidak	Yes/Ya	No/Tidak
1.	Are you/Managing Director/Chief Executive Officer/Owner is a U.S green card holder? Adakah anda/ Pengarah Urusan/Ketua Pegawai Eksekutif/Pemilik Syarikat pemegang kad hijau Amerika Syarikat?						

If yes, please submit the duly completed **Form IRS W-9 of US Department of the Treasury Internal Revenue Service** to SSB. A copy of Form W-9 can be obtained from the US IRS website at <http://www.irs.gov/pub/irs-pdf/fw9.pdf>. Sekiranya ya, sila kemukakan borang yang telah lengkap diisi, **Borang IRS W-9 daripada US Department of the Treasury Internal Revenue Service** kepada SSB. Borang tersebut boleh didapati daripada laman web US IRS di <http://www.irs.gov/pub/irs-pdf/fw9.pdf>.

H. CRS INFORMATION/ MAKLUMAT CRS

Under the Common Reporting Standard (CRS) Rules, SSB is required to collect information on our investors tax resident(s) status. If you/the Managing Director/Chief Executive Officer/Owner are a tax resident of countries other than Malaysia, SSB is required to provide IRBM your/the Managing Director/Chief Executive Officer/Owner account information, which may then be shared with other tax authorities of the CRS Participating Jurisdiction/Countries/ Menurut Peraturan CRS, SSB dikehendaki mengumpul maklumat tentang pemastautin cukai pelabur kami. Jika anda/ Pengarah Urusan/Ketua Pegawai Eksekutif/Pemilik Syarikat adalah pemastautin cukai di negara selain Malaysia, SSB dikehendaki memberikan maklumat akaun anda/ Pengarah Urusan/Ketua Pegawai Eksekutif/Pemilik Syarikat kepada LHDNM, yang kemudiannya akan dikongsi dengan pihak berkuasa cukai lain dari Bidang Kuasa/Negara yang mengambil bahagian dalam CRS.

No.	Indicia Status/Status Indicia	Principal Holder/ Pemegang Unit Utama		Joint-Holder/ Pemegang Bersama		Managing Director/Chief Executive Officer/Owner/ Pengarah Urusan/Ketua Pegawai Eksekutif/Pemilik Syarikat	
		Yes/Ya	No/Tidak	Yes/Ya	No/Tidak	Yes/Ya	No/Tidak
1.	Are you/ Managing Director/Chief Executive Officer/Owner a tax resident in another tax jurisdiction? Adakah anda/ Pengarah Urusan/Ketua Pegawai Eksekutif/Pemilik Syarikat pemastautin cukai di bidang kuasa cukai yang lain?						

If Yes, please complete the following table indicating the jurisdiction of residence where the account holder is a **resident for tax purposes** (except for Malaysia) and the account holder's Taxpayer Identification Number (TIN). / Sekiranya Ya, sila lengkapkan jadual berikut dengan menyatakan bidang kuasa pemastautin di mana pemegang akaun adalah **pemastautin untuk tujuan cukai** (kecuali Malaysia) dan Nombor Taxpayer Identification Number (TIN) pemegang akaun.

If a TIN is unavailable, please provide the appropriate reason as indicated below/ Jika tidak mempunyai nombor TIN, sila berikan sebab A, B atau C seperti yang dinyatakan di bawah::

- Reason A/ Sebab A - The Country/ Jurisdiction where the Account Holder is a resident for tax purpose does not issue TINs to its residents.
Negara/ Bidang Kuasa dimana Pemegang Akaun ialah pemastautin dan tidak mengeluarkan nombor TIN kepada pemastautin.
- Reason B/ Sebab B - The Account Holder is unable to obtain a TIN. / Pemegang Akaun tidak dapat memiliki nombor TIN.
- Reason C/ Sebab C - TIN is not required. (Note: Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed) Nombor TIN tidak diperlukan (Nota: Sila pilih sebab ini, jika undang-undang tempatan bagi bidang kuasa yang berkenaan tidak memerlukan nombor TIN yang dikeluarkan bidang kuasa tersebut)

Jurisdiction/ Country of Tax Residence Bidang Kuasa/Negara Cukai Pemastautin		TIN	If no TIN available, indicate Reason A, B or C / Sekiranya tiada nombor TIN, nyatakan Sebab A, B atau C
1.			
2.			
3.			
Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason B above/ Sila jelaskan di kotak berikut sebab anda tidak memiliki nombor TIN jika anda telah memilih Sebab B di atas.			
1.			
2.			
3.			

I CITIZENSHIP IN OTHER COUNTRY/KEWARGANEGARAAN DI NEGARA LAIN

- ☐ Yes, I hold a citizenship in another country/Ya, saya memegang kerakyataan di negara lain.
Please state the country/Sila nyatakan negara tersebut: _____
(Please provide a copy of the citizenship document/Sila sertakan salinan dokumen kerakyatan)
- ☐ No, I am not holding a citizenship in another country/Tidak, saya tidak memegang kerakyatan di negara lain.

J DECLARATION AND SIGNATURE(S) / DEKLARASI DAN TANDATANGAN

I/We declare that the above information given by me/us is/are true and accurate and that the investment herein does not contravene the laws of Malaysia including the Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001. I/We have read and understood the content of the SSB's Privacy Notice. I/We hereby decisively and completely allow and represent to the Company that, the Company shall have the right to provide personal data and information provided to the Company by me/us and/or acquired by the Company from the public domain, as well as personal data that arises as a result of the provision of services to me/us, to any governmental authorities, regulatory bodies and/or any other relevant person(s) in respect of the Reporting Requirements. I/We hereby acknowledge and agree that such disclosures may involve the transfer of personal data outside of Malaysia and that such disclosures may include but is not limited to any information relating to, arising from and/or in connection with the provision of the services which I/we have requested, and my/our investments with the Company.

Saya/Kami mengaku bahawa maklumat di atas adalah benar dan tepat dan pelaburan di dalamnya tidak melanggar undang-undang Malaysia termasuk Akta Pencegahan Pengubahan Wang Haram, Pencegahan Pembiayaan Keganasan dan Hasil Daripada Aktiviti Haram 2001. Saya/Kami telah membaca dan memahami isi kandungan Notis Privasi SSB. Saya/Kami dengan ini membenarkan dan mewakilkan Syarikat bahawa, Syarikat mempunyai hak untuk memberikan maklumat peribadi dan maklumat yang diberikan oleh saya/kami dan/atau diperolehi oleh Syarikat dari domain awam, serta data peribadi yang timbul akibat penyediaan perkhidmatan kepada saya/kami, kepada mana-mana pihak berkuasa kerajaan, badan pengawalseliaan dan/atau mana-mana pihak yang berkaitan berkenaan dengan Keperluan Pelaporan. Saya/Kami dengan ini mengaku dan bersetuju bahawa pendedahan tersebut mungkin melibatkan pemindahan data peribadi di luar Malaysia dan bahawa pendedahan tersebut mungkin termasuk tetapi tidak terhad kepada apa-apa maklumat yang berkaitan dengan, yang timbul daripada dan/atau berkaitan dengan penyediaan perkhidmatan yang Saya/Kami telah minta, dan pelaburan saya/kami dengan Syarikat.

FOR INDIVIDUAL UNIT HOLDER/UNTUK PEMEGANG UNIT INDIVIDU

Signature of Principal Unit Holder/Tandatangan Pemegang Unit Utama
Date/Tarikh:

Signature of Joint Holder/Tandatangan Pemilik Bersama
Date/Tarikh:

FOR CORPORATE UNIT HOLDER/UNTUK PEMEGANG UNIT KORPORAT

Signature of Managing Director/Chief Executive Officer/Owner and Company Stamp/
Tandatangan Pengarah Urusan/Ketua Pegawai Eksekutif/Pemilik Syarikat dan Cop Syarikat

Date/Tarikh:

FOR SSB USE ONLY	
RECEIVED BY: DATE:	ENTERED BY: DATE:
CHECKED BY: DATE:	VERIFIED BY: DATE: