

(a) Nationality: _____ and (b) Holder of other country PR (Please Specify the county): _____

14. Education Level: ☐ Primary ☐ Secondary ☐ STPM/Diploma/Pre-U ☐ Bachelor Degree ☐ Post Graduate ☐ Professional

15. **Monthly Income:** ☐ Below RM3,000 ☐ RM3,001 - RM7,000 ☐ RM7,001 - RM12,000 ☐ RM12,001 - RM18,000 ☐ RM18,001 above

☐ Savings	☐ Child / Spousal support payments	☐ Trade / Business
☐ Inheritance / Donations / Gifts	☐ Loan / Disposal of properties	☐ Withdrawal of other investments / Maturity
☐ Employment Income	☐ Passive income (rental, dividends, interest)	☐ Retirement / Pension
☐ Other Source(s) (please specify) _____		

(I). Government: ☐ State ☐ Federal ☐ Government-Linked Company (II). Private Employed ☐

a. Occupation (Please specify): _____ Designation (Please specify): _____

b. Name of Employer: _____

c. Category of Industry Involved in

☐ Education ☐ Health/Fitness ☐ Telecommunication ☐ Construction ☐ Retail
☐ Agriculture/Fisheries/Aqua ☐ Developer / Properties ☐ Finance / Banking ☐ Hotel/Tourism ☐ Insurance
☐ Food Industries ☐ Manufacturing ☐ Law / Legal ☐ Oil & Gas ☐ Automotive
☐ Others: (Please Specify):

(III). Unemployed: ☐ Retiree ☐ Student ☐ Homemaker Others (Please specify: _____)

(IV). Self-employed: ☐ Farmer ☐ Own Business ☐ Contractor ☐ Freelance Others (Please specify)

d. Name of Company:

(i) Nature of Business: Sole Proprietorship ☐ Partnership ☐ (ii) Business License No. : _____

For self-employed (own business):

Manufacturing	☐	Law Firm	☐	Accounting Firm	☐	Travel Agency	☐	Workshop	☐
Money Changer	☐	Real Estate	☐	Import /Export	☐	Jewel / Gem	☐	Restaurant	☐
Pawn Broker	☐	Mobile Phone Dealer	☐	Budget Hotel	☐	Video Arcade	☐	Karaoke Centre	☐
Metal	☐	Reflexology	☐	Used Car Dealer	☐	Grocery Store	☐	Scrap	☐
								Financial / Insurance	☐

Others: _____

e. Employer's / Company's
Location Address

[illegible]

Tel. No.									
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Fax No.							
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18. Contact Person (In case of emergency)

Name _____ Relationship _____ Tel No. _____

B. INCOME DISTRIBUTION

Income distribution (IF ANY) will be reinvested on your behalf.

Please refer to the relevant Prospectus, Supplementary Prospectus (if any) and/or Information Memorandum for income distribution policy.

C. ANNUAL/ INTERIM REPORT

I/We preferred to receive Annual/Interim Report by : **Email** ☐ **View/download from website** ☐

Note: The printed copies of the Reports are still available upon request from SSB's Office.

D. PARTICULARS OF JOINT APPLICANT (IF APPLICABLE)

A Copy of NRIC / birth certficate must be attached

☐ Non-Bumiputera

- [illegible]

- | | | | | | | | | | | | | | | | | | | | | | | |
|-----------|-------------------|--|--|--|--|--|--|--|--|--|--|--|--|-------------------|--|--|--|--|--|--|--|--|
| 2. | NRIC (New) | | | | | | | | | | | | | NRIC (Old) | | | | | | | | |
|-----------|-------------------|--|--|--|--|--|--|--|--|--|--|--|--|-------------------|--|--|--|--|--|--|--|--|

3. Gender ☐ Male ☐ Female

4. **State of Origin** ☐ Sabah ☐ Permanent Resident ☐ Others (Please specify):.....

- 5. Relationship with Principal Applicant:**

6. Race Religion

- [illegible]

- [illegible]

9. Education Level ☐ Primary ☐ Secondary ☐ STPM/Diploma/Pre-U ☐ Bachelor Degree ☐ Post Graduate ☐ Professional

10. Monthly Income ☐ Below RM3,000 ☐ RM3,001 - RM7,000 ☐ RM7,001 - RM12,000 ☐ RM12,001 - RM18,000 ☐ RM18,001 above

- ☐ Savings ☐ Child / Spousal support payments ☐ Trade / Business

☐ Inheritance / Donations / Gifts ☐ Loan / Disposal of properties ☐ Withdrawal of other investments / Maturity

☐ Employment Income ☐ Passive income (rental, dividends, interest) ☐ Retirement / Pension

☐ Other Source(s) (please specify) _____

- (I) **Government** State ☐ Federal ☐ Government-Linked Company ☐ (II) **Private** Employed ☐

- a. Occupation (Please specify): _____ Designation (Please specify): _____

- b. Name of Employer:**

- | | | | | |
|---|---|--|--|-------------------------------------|
| ☐ Education | ☐ Health/Fitness | ☐ Telecommunication | ☐ Construction | ☐ Retail |
| ☐ Agriculture/Fisheries/Aqua | ☐ Developer / Properties | ☐ Finance / Banking | ☐ Hotel/Tourism | ☐ Insurance |
| ☐ Food Industries | ☐ Manufacturing | ☐ Law / Legal | ☐ Oil & Gas | ☐ Automotive |

- ☐ Others: (Please Specify): _____

- (III). Unemployed: ☐ Retiree ☐ Student ☐ Homemaker Others (Please specify: _____)

- | | | | | | |
|----------------------|--------|--------------|------------|-----------|-------------------------|
| (IV). Self-employed: | Farmer | Own Business | Contractor | Freelance | Others (Please specify) |
|----------------------|--------|--------------|------------|-----------|-------------------------|

- d. Name of Company:

- (i) Nature of Business: Sole Proprietorship ☐ Partnership ☐ (ii) Business License No. :

For self-employed (own business):

Manufacturing	☐	Law Firm	☐	Accounting Firm	☐	Travel Agency	☐	Workshop	☐
Money Changer	☐	Real Estate	☐	Import /Export	☐	Jewel / Gem	☐	Restaurant	☐
Pawn Broker	☐	Mobile Phone Dealer	☐	Budget Hotel	☐	Video Arcade	☐	Karaoke Centre	☐
Scrap Metal	☐	Reflexology	☐	Used Car Dealer	☐	Grocery Store	☐	Financial / Insurance	☐

Others (please specify):

- [illegible]

Tel. No.									
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Fax No.								
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E. MANDATE FOR ALL FUTURE TRANSACTIONS – FOR JOINTHOLDER ACCOUNT

 Principal to Sign

☐ Both to Sign

☐ Either one to Sign

F. FATCA AND CRS INFORMATION

FATCA information (To be completed by Principle and Joint Holder (if any))

Please complete this section and tick (" ") in the "Yes" / "No" column.

No.	Indicia Status	Principal Holder		Joint Holder		Initial/Signature (Principal and Joint Holder)
		Yes	No	Yes	No	
1.	Are you a U.S green card holder?					

If yes, please submit Form W-9 of US Department of the Treasury Internal Revenue Service. A copy of Form W-9 can be obtained from the US IRS website at <http://www.irs.gov/pub/irs-pdf/fw9.pdf>

CRS information (To be completed by Principle and Joint Holder (if any))

No.	Indicia Status	Principal Holder		Joint Holder		Initial/Signature (Principal and Joint Holder)
		Yes	No	Yes	No	
1.	Are you a tax resident in another tax jurisdiction?					

DECLARATION

- I / We hereby declare that the above information given by me/us are true and accurate and that the investment herein does not contravene the laws of Malaysia including the **Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 (AMLA)**
- I / We wish to invest in the above Fund and have read and understood the notes and contents in this Form, in the prevailing Prospectus and the Supplementary Prospectus (if any) issued together with this Application Form. I/We agree to be bound by the Provisions of the Deed relating to the Fund.
- I / We are aware that there are fees and charges that I/We will incur directly or indirectly when investing in the Fund.
- I / We hereby consent and authorise SSB to report my information to regulatory authorities in accordance with the requirement of FATCA and CRS as may be stipulated by any applicable laws, regulations, agreement or regulatory guidelines or directives.
- I / We hereby confirm that I/we have read and understood the Privacy Notice available on SSB's website at www.sahamsabah.com.my, and consent on the processing of my personal data in accordance with the said Notice for direct marketing purposes. I/We acknowledged that such Privacy Notice may be revised from time to time and if there is/are any revision(s), it will be posted on SSB's website and/or other means of communication deemed suitable by SSB.

INDIVIDUAL / JOINT ACCOUNT

Principal Account Holder Signature Date : _____

Joint Account Signature Date : _____

Left Thumbprint	Right Thumbprint

Left Thumbprint	Right Thumbprint

GUIDELINES AND NOTICE TO APPLICANT:

- The minimum initial investment/ holding is one thousand (1,000) units.
- There is no maximum investment limit (Subject to availability of units).
- The Manager reserves the right to accept or reject any applications in whole or in part thereof.
- A copy of NRIC/ Birth Certificate is required as proof of identity.
- Official receipt will be issued as proof of the investment after the price is obtained and will be sent by ordinary postal services.
- Effective from 1st January 2021, all income distribution (if any) will be automatically reinvested except for Unit Holders that had opted by 31 December 2020 to receive their distribution via online bank transfer.
- An individual applicant must be at least 18 years and must be a Malaysian citizen of Sabah Origin or a Permanent Resident of Sabah.
- For a Joint application, principal holder must be 18 years and above. A joint applicant who is under 18 years of age need not sign this form. Instead, a photocopy of the identity card or Birth Certificate is required.

FOR SSB USE ONLY		FOR SSB'S UTC USE	
RECEIVED BY:	DATE:	NAME:	
PRE-CHECKED BY:	DATE:	AGENT'S NO:	
ENTERED BY:	DATE:	DATE:	
CHECKED & VERIFIED BY:	DATE:	UTC'S RUBBER STAMP & SIGNATURE	

Unit Trust Loan Financing Risk Disclosure Statement

Investing in a Unit Trust Fund with Borrowed Money is More Risky than Investing with Your Own Savings

You should assess if loan financing is suitable for you in light of your objectives, attitude to risk and financial circumstances. You should be aware of the risks, which would include the following:-

1. The higher the margin of financing (that is, the amount of money you borrow for every Ringgit of your own money which you put in as deposit or down payment), the greater the loss or gain on your investment.
2. You should assess whether you have the ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
3. If unit prices fall beyond a certain level, you may be asked to provide additional acceptable collateral (where units are used as collateral) or pay additional amounts on top of your normal instalments. If you fail to comply within the time prescribed, your units may be sold towards the settlement of your loan.
4. Returns on unit trusts are not guaranteed and may not be earned evenly over time. This means that there may be some years where returns are high and other years where losses are experienced. Whether you eventually realize a gain or loss may be affected by the timing of the sale of your units. The value of units may fall just when you want your money back even though the investment may have done well in the past.

This brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore carefully study the terms and conditions before you decide to take a loan. If you are in doubt about any aspect of this risk disclosure statement or the terms of the loan financing, you should consult the institution offering the loan.

ACKNOWLEDGEMENT OF RECEIPT OF RISK DISCLOSURE STATEMENT

I acknowledge that I have received a copy of this Unit Trust Loan Financing Risk Disclosure Statement and understand its contents.

Signature :

Full Name :

Date :