

FREE

## ACCOUNT OPENING FORM



Managed by Saham Sabah Berhad (199401017740 (303418-V))

**ATTENTION:** In accordance with the Capital Markets and Services Act 2007, this form should not be circulated unless accompanied by the applicable prospectus, and any supplemental thereto (if any).

You should read and understand the contents of the relevant prospectus and any supplemental thereto (if any) before completing this form.

Please complete and tick [✓] where applicable.

**TO: Manager**  
**Saham Sabah Berhad**  
 Suite 1-9-W2,W3 & W4, 9<sup>th</sup> Floor, CPS Tower, Centre Point Sabah  
 No.1, Jalan Centre Point, 88000 Kota Kinabalu  
 Tel: 088-254964/254967/254968 Fax: 088-262588/257864)  
 Email: enquiry@sahamsabah.com.my  
 Website: www.sahamsabah.com.my

I/We hereby apply to invest in **SAHAM AMANAH SABAH**:

**Mode of Payment:**

- ☐ Cash Deposit Machine RM
- ☐ \*Bank Draft/Demand Draft RM
- ☐ \*Cheque RM
- ☐ Bank Transfer RM


Remark: \_\_\_\_\_

No. \_\_\_\_\_

No. \_\_\_\_\_

Bank \_\_\_\_\_

Please do not make payment in cash to a Unit Trust Consultant or issue a cheque in the name of a Unit Trust Consultant.

\*Please make payable to **SAHAM SABAH BERHAD**

Tax Reference No. \_\_\_\_\_ (For Investment of **RM50,000.00** and above)

**Type of Account:**

Individual ☐ Joint ☐

**FOR SSB USE ONLY**

NAV PER UNIT  
(RM)

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No. of  
units

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Acct No.

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Transaction Remarks:

Investment ☐ Transfer: Normal ☐ Deceased ☐

**A. PARTICULARS OF APPLICANT**

**A Copy of NRIC / birth certificate must be attached**

☐ Bumiputera

☐ Non-Bumiputera

1. Title ☐ Mr ☐ Mrs ☐ Ms Others \_\_\_\_\_

2. Name  
(as per NRIC/Other ID)


3. NRIC (New)

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NRIC (Old)

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4. Contact Number

Residential									

Mobile									

Office									

5. Residential  
Address

Post Code									Town-State											

6. Mailing Address  
(if different from  
the above)

Post code									Town-State											

7. Email Address \_\_\_\_\_

8. Date of Birth

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9.

Gender:

Male ☐

Female ☐

10. Marital status

☐ Single

☐ Married

☐ Widowed

☐ Divorced

No. of Dependant(s): \_\_\_\_\_

11. Do you or any of your family members hold a prominent position in any domestic or international organization, including a political party?

☐ Yes

☐ No

If yes, please specify the position: \_\_\_\_\_ (Self) \_\_\_\_\_ (Family member)

(a) Nationality: \_\_\_\_\_ and (b) Holder of other country PR (Please Specify the county): \_\_\_\_\_

**14. Education Level:** ☐ Primary ☐ Secondary ☐ STPM/Diploma/Pre-U ☐ Bachelor Degree ☐ Post Graduate ☐ Professional

15. Monthly Income: ☐ Below RM3,000 ☐ RM3,001 - RM7,000 ☐ RM7,001 - RM12,000 ☐ RM12,001 - RM18,000 ☐ RM18,001 above

<input type="checkbox"/> Savings	<input type="checkbox"/> Child / Spousal support payments	<input type="checkbox"/> Trade / Business
<input type="checkbox"/> Inheritance / Donations / Gifts	<input type="checkbox"/> Loan / Disposal of properties	<input type="checkbox"/> Withdrawal of other investments / Maturity
<input type="checkbox"/> Employment Income	<input type="checkbox"/> Passive income (rental, dividends, interest)	<input type="checkbox"/> Retirement / Pension
<input type="checkbox"/> Other Source(s) (please specify)		

(I). Government: ☐ State ☐ Federal ☐ Government-Linked Company (II). Private Employed ☐

a. Occupation (Please specify): \_\_\_\_\_ Designation (Please specify): \_\_\_\_\_

**b. Name of Employer:**

c. Category of Industry Involved in

☐ Education      ☐ Health/Fitness      ☐ Telecommunication      ☐ Construction      ☐ Retail  
☐ Agriculture/Fisheries/Aqua      ☐ Developer / Properties      ☐ Finance / Banking      ☐ Hotel/Tourism      ☐ Insurance  
☐ Food Industries      ☐ Manufacturing      ☐ Law / Legal      ☐ Oil & Gas      ☐ Automotive  
☐ Others: (Please Specify):

(III). Unemployed: ☐ Retiree ☐ Student ☐ Homemaker Others (Please specify: \_\_\_\_\_)

(IV). Self-employed: ☐ Farmer ☐ Own Business ☐ Contractor ☐ Freelance Others (Please specify)

d. Name of Company:

(i) Nature of Business: Sole Proprietorship ☐ Partnership ☐ (ii) Business License No. : \_\_\_\_\_

**For self-employed (own business):**

Manufacturing	Law Firm	Accounting Firm	Travel Agency	Workshop
Money Changer	Real Estate	Import / Export	Jewel / Gem	Restaurant
Pawn Broker	Mobile Phone Dealer	Budget Hotel	Video Arcade	Karaoke Centre Scrap
Metal	Reflexology	Used Car Dealer	Grocery Store	Financial / Insurance

Others:

e. Employer's / Company's  
Location Address

[illegible]

**18. Contact Person (In case of emergency)**

Name	Relationship	Tel No.
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**Income distribution (IF ANY) will be reinvested on your behalf.**

Please refer to the relevant Prospectus, Supplementary Prospectus (if any) and/or Information Memorandum for income distribution policy.

I/We preferred to receive Annual/Interim Report by :      **Email**      ☐      **View/download from website**      ☐

*Note: The printed copies of the Reports are still available upon request from SSB's Office.*

#### D. PARTICULARS OF JOINT APPLICANT (IF APPLICABLE)

**A Copy of NRIC / birth ceritficate must be attached**

☐ Bumiputera ☐ Non-Bumiputera

□ Non-Bumiputera

1. Joint Holder Name (as per NRIC/Other ID) ☐ Bumiputera ☐ Non-Bumiputera

[illegible][illegible]

NRIC (Old)								
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3. Gender ☐ Male ☐ Female

4. State of Origin ☐ Sabah ☐ Permanent Resident ☐ Others ( Please specify):.....

5. Relationship with Principal Applicant:

6. Race Religion

7. Contact Number \_\_\_\_\_

Residential								Mobile								Office							

[illegible][illegible]

9. Education Level ☐ Primary ☐ Secondary ☐ STPM/Diploma/Pre-U ☐ Bachelor Degree ☐ Post Graduate ☐ Professional

10. Monthly Income ☐ Below RM3,000 ☐ RM3,001 - RM7,000 ☐ RM7,001 - RM12,000 ☐ RM12,001 - RM18,000 ☐ RM18,001 above

11. Source of Fund

<input type="checkbox"/> Savings	<input type="checkbox"/> Child / Spousal support payments	<input type="checkbox"/> Trade / Business
<input type="checkbox"/> Inheritance / Donations / Gifts	<input type="checkbox"/> Loan / Disposal of properties	<input type="checkbox"/> Withdrawal of other investments / Maturity
<input type="checkbox"/> Employment Income	<input type="checkbox"/> Passive income (rental, dividends, interest)	<input type="checkbox"/> Retirement / Pension
<input type="checkbox"/> Other Source(s) (please specify)		

## 12. Employment Sector

(I) **Government** State ☐ Federal ☐ Government-Linked Company ☐ (II) **Private** Employed ☐

a. Occupation (Please specify): \_\_\_\_\_ Designation (Please specify): \_\_\_\_\_

**b. Name of Employer:**

c. Category of Industry Involved in

<input type="checkbox"/> Education	<input type="checkbox"/> Health/Fitness	<input type="checkbox"/> Telecommunication	<input type="checkbox"/> Construction	<input type="checkbox"/> Retail
<input type="checkbox"/> Agriculture/Fisheries/Aqua	<input type="checkbox"/> Developer / Properties	<input type="checkbox"/> Finance / Banking	<input type="checkbox"/> Hotel/Tourism	<input type="checkbox"/> Insurance
<input type="checkbox"/> Food Industries	<input type="checkbox"/> Manufacturing	<input type="checkbox"/> Law / Legal	<input type="checkbox"/> Oil & Gas	<input type="checkbox"/> Automotive

☐ Others: (Please Specify): \_\_\_\_\_

(III). Unemployed: ☐ Retiree ☐ Student ☐ Homemaker Others (Please specify: \_\_\_\_\_)

(IV). Self-employed:	Farmer	Own Business	Contractor	Freelance	Others (Please specify)
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d. Name of Company: \_\_\_\_\_

(i) Nature of Business: Sole Proprietorship ☐ Partnership ☐ (ii) Business License No. :

**For self-employed (own business):**

Manufacturing	<input type="checkbox"/>	Law Firm	<input type="checkbox"/>	Accounting Firm	<input type="checkbox"/>	Travel Agency	<input type="checkbox"/>	Workshop	<input type="checkbox"/>
Money Changer	<input type="checkbox"/>	Real Estate	<input type="checkbox"/>	Import /Export	<input type="checkbox"/>	Jewel / Gem	<input type="checkbox"/>	Restaurant	<input type="checkbox"/>
Pawn Broker	<input type="checkbox"/>	Mobile Phone Dealer	<input type="checkbox"/>	Budget Hotel	<input type="checkbox"/>	Video Arcade	<input type="checkbox"/>	Karaoke Centre	<input type="checkbox"/>
Scrap Metal	<input type="checkbox"/>	Reflexology	<input type="checkbox"/>	Used Car Dealer	<input type="checkbox"/>	Grocery Store	<input type="checkbox"/>	Financial / Insurance	<input type="checkbox"/>

Others (please specify):

[illegible][illegible][illegible][illegible]

Fax No								
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### E. MANDATE FOR ALL FUTURE TRANSACTIONS – FOR JOINTHOLDER ACCOUNT

☐ Principal to Sign

☐ Both to Sign

☐ Either one to Sign

## F. FATCA AND CRS INFORMATION

FATCA information (To be completed by Principle and Joint Holder (if any))

Please complete this section and tick ( " ) in the "Yes" / "No" column.

No.	Indicia Status	Principal Holder		Joint Holder		Initial/Signature (Principal and Joint Holder)
		Yes	No	Yes	No	
1.	Are you a U.S green card holder?					

If yes, please submit Form W-9 of US Department of the Treasury Internal Revenue Service. A copy of Form W-9 can be obtained from the US IRS website at <http://www.irs.gov/pub/irs-pdf/fw9.pdf>

CRS information (To be completed by Principle and Joint Holder (if any))

No.	Indicia Status	Principal Holder		Joint Holder		Initial/Signature (Principal and Joint Holder)
		Yes	No	Yes	No	
1.	Are you a tax resident in another tax jurisdiction?					

## DECLARATION

- I / We hereby declare that the above information given by me/us are true and accurate and that the investment herein does not contravene the laws of Malaysia including the **Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 (AMLA)**
- I / We wish to invest in the above Fund and have read and understood the notes and contents in this Form, in the prevailing Prospectus and the Supplementary Prospectus (if any) issued together with this Application Form. I/We agree to be bound by the Provisions of the Deed relating to the Fund.
- I / We are aware that there are fees and charges that I/We will incur directly or indirectly when investing in the Fund.
- I / We hereby consent and authorise SSB to report my information to regulatory authorities in accordance with the requirement of FATCA and CRS as may be stipulated by any applicable laws, regulations, agreement or regulatory guidelines or directives.
- I / We hereby confirm that I/we have read and understood the Privacy Notice available on SSB's website at [www.sahamsabah.com.my](http://www.sahamsabah.com.my), and consent on the processing of my personal data in accordance with the said Notice for direct marketing purposes. I/We acknowledged that such Privacy Notice may be revised from time to time and if there is/are any revision(s), it will be posted on SSB's website and/or other means of communication deemed suitable by SSB.

## INDIVIDUAL / JOINT ACCOUNT

\_\_\_\_\_  
Principal Account Holder Signature Date : \_\_\_\_\_

\_\_\_\_\_  
Joint Account Signature Date : \_\_\_\_\_

Left Thumbprint	Right Thumbprint

Left Thumbprint	Right Thumbprint

### GUIDELINES AND NOTICE TO APPLICANT:

- The minimum initial investment/ holding is one thousand (1,000) units.
- There is no maximum investment limit (Subject to availability of units).
- The Manager reserves the right to accept or reject any applications in whole or in part thereof.
- A copy of NRIC/ Birth Certificate is required as proof of identity.
- Official receipt will be issued as proof of the investment after the price is obtained and will be sent by ordinary postal services.
- Effective from 1st January 2021, all income distribution (if any) will be automatically reinvested except for Unit Holders that had opted by 31 December 2020 to receive their distribution via online bank transfer.
- An individual applicant must be at least 18 years and must be a Malaysian citizen of Sabah Origin or a Permanent Resident of Sabah.
- For a Joint application, principal holder must be 18 years and above. A joint applicant who is under 18 years of age need not sign this form. Instead, a photocopy of the identity card or Birth Certificate is required.

FOR SSB USE ONLY		FOR SSB'S UTC USE	
RECEIVED BY:	DATE:	NAME:	
PRE-CHECKED BY:	DATE:	AGENT'S NO:	
ENTERED BY:	DATE:	DATE:	
CHECKED & VERIFIED BY:	DATE:	UTC'S RUBBER STAMP & SIGNATURE	

## Unit Trust Loan Financing Risk Disclosure Statement

<p><b>Investing in a Unit Trust Fund with Borrowed Money is More Risky than Investing with Your Own Savings</b></p>
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You should assess if loan financing is suitable for you in light of your objectives, attitude to risk and financial circumstances. You should be aware of the risks, which would include the following:-

1. The higher the margin of financing (that is, the amount of money you borrow for every Ringgit of your own money which you put in as deposit or down payment), the greater the loss or gain on your investment.
2. You should assess whether you have the ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
3. If unit prices fall beyond a certain level, you may be asked to provide additional acceptable collateral (where units are used as collateral) or pay additional amounts on top of your normal instalments. If you fail to comply within the time prescribed, your units may be sold towards the settlement of your loan.
4. Returns on unit trusts are not guaranteed and may not be earned evenly over time. This means that there may be some years where returns are high and other years where losses are experienced. Whether you eventually realize a gain or loss may be affected by the timing of the sale of your units. The value of units may fall just when you want your money back even though the investment may have done well in the past.

This brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore carefully study the terms and conditions before you decide to take a loan. If you are in doubt about any aspect of this risk disclosure statement or the terms of the loan financing, you should consult the institution offering the loan.

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### ACKNOWLEDGEMENT OF RECEIPT OF RISK DISCLOSURE STATEMENT

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I acknowledge that I have received a copy of this Unit Trust Loan Financing Risk Disclosure Statement and understand its contents.

Signature : .....

Full Name : .....

Date : .....